

## BACTERIOLOGICAL AGENTS CAUSING VENTILATOR ASSOCIATED PNEUMONIA IN THE ICU AND THEIR ANTIMICROBIAL RESISTANCE PROFILE

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### Abstract

**Background:** Ventilator-associated pneumonia is one of the most important device associated health care related infection having high impact on mortality and morbidity of patients. These infections are difficult to treat as they are multidrug resistant organisms and the options available for their treatment are very limited thereby increasing the toxicity of drugs as well as high cost. This study was aimed to analyse the microbiological profile of VAP in our hospital and determining the antimicrobial susceptibility profile of these organisms. Also it determines ESBL, Amp C, Carbapenemase and MBL production in the isolates thereby helping in implementing effective prevention strategies. **Materials and Methods:** This study was conducted during the period of Jan 2020 to June 2022 in the ICUs setting and all mechanically ventilated patients developing pneumonia after >48 hrs of ventilation were included in our study.

**Result:** In our study late onset VAP accounted for 86.2% cases and 50-60 years male were most commonly affected. Most common underlying condition was hypertension (54.23%) followed by diabetes mellitus (23.4%). Acinetobacter spp. was the most common isolate 66 (53.6%) having 100% resistance to ampicillin, gentamicin, ciprofloxacin, doxycycline and 98% resistance against cefotaxime. MRSA were detected in 57.1% of S. aureus while among gram negative bacilli ESBL, Carbapenemase production and MBL production accounted for 24.1%, 62.9% and 46.5% respectively. **Conclusion:** Thus, to conclude, the alarmingly high rates of MDR organisms causing VAP in ICUs along with the ominous presence of ESBL, AmpC, carbapenemase and metallo-beta lactamase in them, suggest that preventive interventions like Staff education, Hand hygiene training, antimicrobial stewardship program, infection control guidelines and antibiotic policy can reduce the incidence of VAP in ICU patients thereby reducing the mortality associated with it.

## INTRODUCTION

Ventilator-associated pneumonia (VAP) as per the CDC is defined as pneumonia in patients on mechanical ventilator support for >48 h, with day of ventilator placement being Day 1 and it was neither present nor in incubation at the time of intubation.<sup>[1]</sup> It is diagnosed commonly in mechanically ventilated patients with an incidence of 20-36% in India.<sup>[2-4]</sup> VAP is further divided into early onset VAP ( $\leq$  96 hours of intubation) caused by community acquired pathogens such as Streptococcus pneumoniae and S. aureus and late onset VAP (> 96 hours of intubation) caused by hospital acquired pathogens like P. aeruginosa, methicillin resistant S. aureus (MRSA),

Acinetobacter spp. and Enterobacter spp.<sup>[2,5]</sup> The etiological agents widely differ geographically depending on risk factors like duration of Endotracheal intubation, prior antimicrobial therapy, regular enteral feeding, immunosuppressant therapy, gastric aspiration, airway defects and other comorbid conditions.<sup>[6]</sup>

Hospital acquired agents are multi-drug resistant and these bacteria are resistant to diverse classes of antimicrobial agents, including carbapenems, colistin thereby challenging the appropriateness of the empirical antibiotic therapy and making the therapeutic options limited.<sup>[7]</sup> Inadequate antimicrobial therapy, such as inappropriate antimicrobial coverage, or delayed initiation of

antimicrobials has been associated with higher hospital mortality in subjects with VAP. The mortality with VAP is considerably high, varying from 24 to 76% according to the population of patients in ICU (Intensive care unit), duration of hospital stay, time of onset, causative organisms and prior antimicrobial therapy.<sup>[8]</sup> Thus, regular analysis of VAP causative organisms and their antimicrobial susceptibility patterns are essential for initiation of the appropriate antimicrobial treatment, thereby reducing the adverse effects on patients' prognosis and preventing emergence of multidrug resistant (MDR) pathogens.

Diagnosis and treatment of VAP is dependent on detection of the causative organism which is done by collecting the lower respiratory tract sample like protected specimen brush (PSB) and bronchoalveolar lavage (BAL) or endotracheal aspirate (ETA). Recent studies suggested that quantitative ETA cultures give results comparable to invasive procedures, thus making quantitative ETA as a diagnostic tool more effective.<sup>[9]</sup> Therefore the aim of this study was to analyse the microbiological profile of VAP in our hospital and determining the antimicrobial susceptibility profile of these organisms. This study further extends to determine ESBL, Amp C, Carbapenemase and MBL production in the isolates thereby helping in implementing effective prevention strategies.

## MATERIALS AND METHODS

This study was conducted during the period of Jan 2020 to June 2022 in the ICUs of a tertiary care hospital in north India. All mechanically ventilated patients above 18 years of age developing pneumonia after >48 hrs of ventilation were included in our study. Patients aged < 18 yrs, having pneumonia at the time of ICU admission or developing pneumonia in the first 48 hours of mechanical ventilation were excluded. For clinical diagnosis, CDC's criteria were used. Patients should have at least one of the following: fever  $\geq 100.4^\circ\text{F}$ , leukopenia (4,000 cells/cu.mm)/ leukocytosis ( $\geq 12,000$  cells/cu.mm) and adult  $\geq 70$  yrs with altered state without any other clear cause. Also they must be presenting with two of the following features: 1. Newly purulent sputum or change in character of sputum 2. Excessive airway secretions 3. Need of suctioning increased 4. Cough, tachypnea, or dyspnea 5. abnormal bronchial sounds 6. requiring more oxygen or ventilator use.<sup>[10]</sup> Only patients exhibiting bacteriologically documented pneumonia were studied; bacteria were isolated using semi-quantitative culture methods on 5% sheep blood agar and MacConkey agar in significant quantity from samples like ETA >105 cfu/ml, PSB >103 cfu/ml and BAL >104 cfu/ml).<sup>[11,12]</sup> All the bacteria isolated were identified to the species level by standard biochemical tests and their antibiotic susceptibility testing was performed by the Modified Kirby–Bauer disc diffusion method on Muller–

Hinton agar as per the Clinical and Laboratory Standards Institute (CLSI) guidelines.<sup>[13]</sup> Minimum inhibitory concentration (MIC) values were determined by E-test as per CLSI guidelines. VITEK® 2 automated system (bioMérieux, Craponne, France) was used to confirm the identification of both bacterial as well as MIC of antibiotics were determined. Extended Spectrum Beta Lactamase (ESBL) production was determined by double disc synergy testing (DDST) using antibiotic disc of ceftazidime 30 $\mu\text{g}$  and ceftazidime-clavulanic acid 30/10 $\mu\text{g}$ , also cefotaxime 30 $\mu\text{g}$  and cefotaxime-clavulanic acid 30/10 $\mu\text{g}$ .<sup>[13]</sup> Strains resistant to carbapenems were tested for carbapenemase production by Modified Hodge test. Metallo beta lactamase (MBL) production was determined by using Disc Potentiation test by using Imipenem disc 10 $\mu\text{g}$  and Imipenem-EDTA disc.<sup>[14]</sup> Isolates were screened for AmpC  $\beta$ -lactamases by standard disc diffusion breakpoint for ceftioxin. Isolates with zone diameter less than 18mm for ceftioxin were tested for AmpC activity by Disc potentiation test by using Cefotaxime 30  $\mu\text{g}$  disc and cefotaxime-3 amino phenylboronic acid 30  $\mu\text{g}$ /300  $\mu\text{g}$  disc.<sup>[15]</sup> ATCC control strains of *Escherichia coli* (ATCC 25922), *Staphylococcus aureus* (ATCC 25923) and *Pseudomonas aeruginosa* (ATCC 27853) strains were used for quality control.

## RESULTS

During this duration, 123 patients developed VAP, 83 (67.4%) were male and 40 (32.6%) were female. Most common age group involved was 50-60 years accounting for 35.8%, followed by 40-50 years (22.7%), and 60-70 years (17.1%). 74 patients (60.2%) were admitted to the Medicine ICU (MICU) and 49 (39.8%) were admitted to the Surgical ICU (SICU). 98 patients (79.7%) were having underlying diseases, like hypertension (54.23%), diabetes mellitus (23.4%), cardiovascular disease (19.8%) and renal diseases (8.9%). Early onset VAP was seen in 17 patients (13.8%) while late onset VAP was seen in 106 patients (86.2%).

Most common organism isolated in Early onset VAP is *S. aureus* (41.2%) followed by *A. baumannii* (29.4%) while in late onset VAP, gram negative bacilli were more isolated, *A. baumannii* being most common (57.5%), followed by *Pseudomonas* spp and *Klebsiella* spp as shown in [Table 1].

Overall, *Acinetobacter* spp. was the most common isolate 66 (53.6%) having 100% resistance to ampicillin, gentamycin, ciprofloxacin, doxycycline and 98% resistance against cefotaxime. Only 15.2% isolates were sensitive to carbapenems group (Imipenem and Meropenem) with MIC value ranging from 0.25 to 1.5 mcg/ml and breakpoint MIC (Vitek 2) <4 mcg/ml.

*Pseudomonas* spp. showed 100% resistance to gentamicin, ciprofloxacin, ceftazidime and

piperacillin. 25% were sensitive to piperacillin-tazobactam and 45% to imipenem. The MIC were found to be 12 mcg/ml for piperacillin-tazobactam and .38-1.5 mcg/ml for imipenem. The breakpoint MIC were <16 mcg/ml and <4 mcg/ml respectively. The antibiotic resistance pattern of all the causative isolates is shown in table 2. There is no discrepancies in results from MIC E- test and disc diffusion test. Out of 116 gram negative isolates, 11 isolates (9.5%) were found resistant to Colistin by broth microdilution method i.e. MIC> 4mcg/ml as per CLSI guidelines 2022. Also out of 7 *S. aureus* isolates, 57.1% were MRSA (Methicillin Resistant *S. aureus*) while 2 isolates were found resistant to Linezolid and 1 was found resistant to Vancomycin.

ESBL production was detected in 24.1% of the isolates with *Klebsiella* spp being the most common producer (57.1%). 62.9% isolates were producing carbapenemase. 84.8% of *Acinetobacter* spp., 55% in *Pseudomonas* spp, showed carbapenemase production. 46.5% of the isolates showed MBL production, *Acinetobacter* spp (65.1%) being most common followed by *Pseudomonas* spp and *Klebsiella* spp. 25% of the isolates were AmpC producers. *Pseudomonas* spp (40%) was the most dominant producer followed by *Enterobacter* spp (33.3%) and *Acinetobacter* spp. (22.7%). However 7.8% of the isolates were having both ESBL & AmpC production. The details are there in [Table 3].

**Table 1: Organisms isolated from Early onset and Late onset VAP cases**

Micro-organisms	Early onset VAP (%)	Late-onset VAP (%)	Total (%)
<i>Acinetobacter baumannii</i>	05 (29.4%)	61 (57.5%)	66 (53.6%)
<i>Pseudomonas aeruginosa</i>	02 (11.7%)	15 (14.1%)	17 (13.8%)
<i>Pseudomonas</i> spp.	01 (5.9%)	02 (1.9%)	03 (2.4%)
<i>Klebsiella pneumoniae</i>	01 (5.9%)	13 (12.3%)	14 (11.4%)
<i>Enterobacter aerogenes</i>	0	06 (5.7%)	06 (4.9%)
<i>Escherichia coli</i>	01(5.9%)	06 (5.7%)	07 (5.7%)
<i>Staphylococcus aureus</i>	07 (41.2%)	0	07 (5.7%)
<i>Serratia marcescens</i>	0	02 (1.9%)	02 (1.6%)
<i>Stenotrophomonas maltophilia</i>	0	01 (0.9%)	01 (0.8%)
Total	17 (13.8%)	106 (86.2%)	123

**Table 2: Antibiotic resistance pattern of isolated micro-organisms**

	<i>Acinetobacter</i> (66)	<i>Pseudomonas</i> (20)	<i>Klebsiella</i> (14)	<i>Enterobacter</i> (6)	<i>E. coli</i> (7)	<i>S. aureus</i> (7)	<i>S. marcescens</i> (2)	<i>S. maltophilia</i> (1)
A	66(100%)	-	14(100%)	6(100%)	7(100%)		2(100%)	1(100%)
G	66(100%)	20(100%)	14(100%)	6(100%)	7(100%)	6(85.7%)	2(100%)	1(100%)
AK	64(96.9%)	19(95%)	14(100%)	5(83.3%)	6(85.7%)		2(100%)	1(100%)
CF	66(100%)	20(100%)	14(100%)	6(100%)	7(100%)	7(100%)	2(100%)	1(100%)
DO	66(100%)	-	14(100%)	6(100%)	7(100%)			
CE	65(98.5%)	-	14(100%)	5(83.3%)	6(85.7%)		2(100%)	1(100%)
CA	65(98.5%)	20(100%)	-	-	-			
CI	65(98.5%)	-	14(100%)	5(83.3%)	6(85.7%)		2(100%)	1(100%)
CPM	63 (95.4%)	19(95%)	13(92.8%)	5(83.3%)	5(71.4%)		2(100%)	1(100%)
AT	62 (93.9%)	18 (90%)	13(92.8%)	5(83.3%)	5(71.4%)		2(100%)	1(100%)
PC	-	20(100%)	-	-	-			
PT	60(90.9%)	15(75%)	9(64.3%)	4(66.7%)	4(57.1%)		1(50%)	1(100%)
TCC	61 (92.4%)	15(75%)	9(64.3%)	4(66.7%)	4(57.1%)		1(50%)	1(100%)
IMP	56(84.8%)	11(55%)	3(21.4%)	2(33.3%)	1(14.3%)		0	0
MRP	56(84.8%)	11(55%)	3(21.4%)	2(33.3%)	1(14.3%)		0	0
CL	8 (12.1%)	2 (10%)	1 (7.1%)	0	0		0	0
CN	-	-	-	-	-	4(57.1%)		
T	-	-	-	-	-	7(100%)		
AZM	-	-	-	-	-	7(100%)		
CO	-	-	-	-	-	7(100%)		
VA	-	-	-	-	-	1(14.2%)		
LZ	-	-	-	-	-	2(28.6%)		

Note: A-Ampicillin, G-Gentamycin, AK- Amikacin, CF-Ciprofloxacin, DO-Doxycycline, CE-Cefotaxime, CA-Ceftazidime, CI-Ceftriaxone, CPM-Cefepime, AT-Aztreonam, PC-Piperacillin, PT-Piperacillin-Tazobactam, TCC-Ticarillin-Clavulanate, IMP-Imipenem, MRP\_Meropenem, CL-Colistin, CN-Cefoxitin, T-Tetracycline, AZM-Azithromycin, CO- Cotrimoxazole, VA-Vancomycin, LZ-Linezolid

**Table 3: Determination of ESBL, AmpC, Carbapenemase and MBL producer among isolated micro-organisms**

ISOLATES	ESBL Producer (%)	Amp C Producers (%)	Carbapenamase producer by MHT (%)	MBL Producer (%)
Acinetobacter(66)	11 (16.7%)	15 (22.7%)	56 (84.8%)	43 (65.1%)
Pseudomonas(20)	2 (10%)	8 (40%)	11 (55%)	7 (35%)
Klebsiella(14)	8 (57.1%)	2 (14.3%)	3 (21.4%)	2 (14.3%)
Enterobacter(6)	3 (50%)	2 (33.3%)	2 (33.3%)	1 (16.6%)
E.coli(7)	2 (28.6%)	1 (14.3%)	1 (14.3%)	1 (14.3%)
Serratia(2)	1(50%)	1(50%)	0	0
Stenotrophomonas(1)	1(100%)	0	0	0
Total (116)	28(24.1%)	29 (25%)	73(62.9%)	54(46.5%)

## DISCUSSION

VAP is considered as the most common health care associated infection in ICU with an incidence ranging from 7 to 36% in intubated mechanically ventilated patients. It is responsible for high morbidity and mortality despite plenty of available antimicrobial therapy, advanced supportive care modalities, and the use of multiple preventive measures.<sup>[2,4]</sup>

Of the 123 patients diagnosed with VAP as per the CDC's criteria, male female ratio was 2:1. In our ICU set up late onset VAP was seen in 86.2% cases while early onset VAP was seen in 13.8%, proving that the incidence of VAP is directly proportional to the number of days of mechanical ventilation as also shown by Fagon et al.<sup>[16]</sup> This finding also correlated with other studies.<sup>[4,17,18]</sup> Early-onset VAP is usually due to the underlying pathology. On the other hand, late-onset VAP could be due to prolonged ventilation, evolution of the underlying disease, quality of nursing care, duration of antibiotic exposure or environmental ecology of the hospital. Studies have shown that empirical antibiotic usage decreases early-onset VAP but markedly increases MDR pathogens.<sup>[19]</sup> Hence it is very important to have antibiotic policy based on local distribution of pathogens and their resistance pattern.

In our study *Acinetobacter* species (53.6%), *Pseudomonas aeruginosa* (12.3%) and *Klebsiella pneumoniae* (11.4%) were the most common organisms causing VAP, which is similar to study conducted by Arayasukawat et al.,2021 where *Acinetobacter* species, *Klebsiella pneumoniae* and *Pseudomonas aeruginosa* accounted to 52.1%, 15.3% and 8.9% respectively.<sup>[4]</sup> Gram negative bacilli isolated were found resistant to all 1st line drugs like ampicillin, gentamycin, ciprofloxacin, doxycycline, cefotaxime etc. Resistance for piperacillin-tazobactam were 80-90%, also high level of resistance was observed against Imipenem. However most of the isolates were sensitive to colistin, only 11 isolates were resistant to colistin. These findings were similar to other studies.<sup>[20,21]</sup> VAP due to MDR organisms is one of the most ominous complication leading to therapeutic failures, prolonged hospital stay, increased cost, morbidity and mortality.

ESBL belonging to groups SHV, TEM, CTX-M have mainly been implicated in the transfer of drug resistance in gram negative organisms of members of Enterobacteriaceae and few other gram negative non fermenting bacilli.<sup>[21]</sup> In the present study, 24.1% of

GNB were identified as ESBL producers, *Klebsiella* spp being the most common and 25% were AmpC producers. AmpC production is due to plasmid mediated transfer. In the present study 7.8% of gram negative bacteria were seen harbouring both AmpC beta lactamases and ESBL. Dalela G et al. showed ESBL, AmpC β-lactamase and ESBL + AmpC β-lactamase among 66.9%, 21.1% and 3.5% of isolates respectively.<sup>[22]</sup> The coexistence results in elevated cephalosporin MICs and also false negative detection of ESBLs because AmpC-type beta-lactamases resist inhibition by clavulanate and therefore obscure the synergistic effect of clavulanate and cephalosporins against ESBLs. High detection of AmpC in our study might have obscure ESBL detection in other bacteria<sup>[15]</sup>

For multi drug resistant organisms and ESBL producers, carbapenems constitute the drug of choice, but alarmingly rising carbapenem resistance in isolates from intensive care units is a serious cause of concern and having very limited treatment options. In our study, 62.9% isolates were producing carbapenemase and 46.5% were MBL producers. The clinical utility of Carbapenems clinical utility is under threat due to acquired carbapenemases, particularly, MBLs which is now spreading worldwide and its early detection is critical. These isolates disseminate rapidly within an institution, leading to poor outcome and hence early detection is of utmost importance.<sup>[23]</sup>

## CONCLUSION

The alarmingly high rates of MDR organisms causing VAP in ICUs along with the ominous presence of ESBL, AmpC, carbapenemase and metallo-beta lactamase in them, suggest that preventive interventions like Staff education, Hand hygiene training, antimicrobial stewardship program and training on proper handling of respiratory secretions of critical ICU patients might reduce the prevalence of VAP in intubated patients.<sup>[24]</sup> Also intervention of infection control experts, hospital administration and policy planners, introduction of bundle approach, corrective and preventive actions is needed to avoid a situation similar to post antibiotic era where even common infections will no longer have a cure and progress to unabated killings.

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